Missing the point (estimate)? Confidence intervals for the number needed to treat

Nicholas J. Barrowman

The number needed to treat, that is, the average number of patients a clinician needs to treat with a particular therapy to prevent one bad outcome, is a translation into clinical terms of the absolute risk reduction derived from a trial. Most clinicians are aware that very small absolute risk reductions translate into large numbers needed to treat, which often helps them to distinguish statistically significant from clinically significant results. For example, in a letter in this issue (page 1652),² David Gladstone and colleagues use point estimates of the number needed to treat to demonstrate the benefit of tissue plasminogen activator (tPA) in the treatment of stroke. A point estimate represents the single most plausible value in light of the observed data. However the data will generally be consistent with a whole range of values. Along with a point estimate, it is informative to provide a confidence interval reflecting the range of plausible values — and ruling out values outside this range. But the interpretation of confidence intervals for the number needed to treat has some subtleties, and incorrect confidence intervals for the number needed to treat have often been reported.3

The number needed to treat is computed as the reciprocal of the absolute risk reduction. For example, Gladstone and colleagues presented the absolute risk reduction for patients with moderate baseline stroke severity (National Institutes of Health Stroke Scale [NIHSS] between 6 and 10) as being 16.6%. The number needed to treat is thus 1/0.166 or approximately 6. This benefit was statistically significant: the 95% confidence interval for the absolute risk reduction was 0.9%–32.2%. A 95% confidence interval for the number needed to treat is 1/0.009 to 1/0.322 or approximately 3.1–111.1 (Note that taking the reciprocal reverses the order of the limits of the confidence interval.)

This all seems quite straightforward, that is, until we try the calculation for a *nonsignificant* result, for example, for patients with low baseline stroke severity (NIHSS score between 0 and 5). The absolute risk reduction was 6.6% with a 95% confidence interval of –20.9% to 34.1%. Naively taking reciprocals gives a number needed to treat of about 15.2 and an *apparent* 95% confidence interval of –4.8 to 2.9, which does not seem to include 15.2! Clearly something's afoot.

To understand the source of the confusion, note first that the lower limit of the confidence interval for the absolute risk reduction is negative, because the data do not rule out the possibility that tPA is actually harmful for this group of patients. The reciprocal of this lower limit is –4.8, or a "number

needed to harm" of 4.8. Altman has suggested that a better description of positive and negative values of the number needed to treat would be the "number needed to treat for one additional patient to benefit (or be harmed)," or NNTB and NNTH respectively. The 95% confidence interval for the absolute risk reduction thus extends from a NNTH of 4.8 at one extreme to a NNTB of 2.9 at the other.

To understand what such a confidence interval covers, imagine for a moment that the absolute risk reduction had only just been significant, with a confidence interval extending from slightly more than 0% to 34.1%. The confidence interval for the number needed to treat would now extend from 2.9 to something approaching infinity, denoted ∞. This would indicate that, according to the data, for one additional patient to benefit, a clinician would need to treat at least 2.9 patients (the reciprocal of 34.1%), but perhaps an extremely large number of patients. Thus, when a confidence interval for an absolute risk reduction overlaps zero, the corresponding confidence interval for the number needed to treat includes ∞. This explains the confusion in the case of the patients with low baseline stroke severity: the 95% confidence interval does, after all, contain the point estimate (Fig. 1). Following Altman's suggestion, the estimated number needed to treat and its confidence interval can be quoted as NNTB = 15.2 (95% confidence interval NNTH 4.8 to ∞ to NNTB 2.9). In other words, for

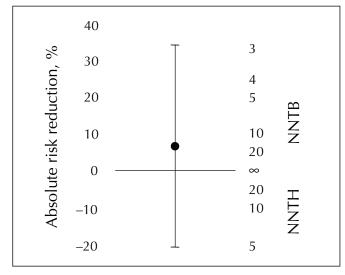


Fig. 1: Confidence intervals for absolute risk reduction and number needed to treat for benefit (NNTB) or harm (NNTH) for patients with low baseline stroke severity. Data from Gladstone et al.²

this group of patients, it could be that, on average, treating as few as 3 patients with tPA would result in one additional patient benefiting. On the other hand, it could be that, on average, treating as few as 5 patients with tPA would result in one additional patient being harmed.

The use of the number needed to treat is not without its drawbacks. Its statistical properties are problematic^{4,5} and its appropriate application in meta-analysis requires considerable care.⁶ Particularly with small samples, the commonly used formula for the confidence interval of the absolute risk reduction can give poor results,⁷ which can have an enormous impact when transformed to the number-needed-to-treat scale. Recently, the use of more refined confidence intervals for the absolute risk reduction has been recommended for obtaining confidence intervals for the number needed to treat.⁸

It is important to remember that a nonsignificant number needed to treat will have a confidence interval with 2 parts, one allowing for the possibility that the treatment is actually harmful, and the other for the possibility that the treatment is beneficial. Published confidence intervals for the number needed to treat have sometimes included only one of these parts.

CMAJ recommends that when authors express results in terms of the number needed to treat, point estimates for nonsignificant numbers needed to treat should be accompanied by confidence intervals using Altman's notation,³ as described in this commentary.

This article has been peer reviewed.

Dr. Barrowman is an Associate Editor of *CMAJ*. He is also with the Chalmers Research Group, Children's Hospital of Eastern Ontario Research Institute; the School of Mathematics and Statistics, Carleton University; and the Department of Pediatrics, University of Ottawa, Ottawa, Ont.

Competing interests: None declared.

References

- Cook RJ, Sackett DL. The number needed to treat: a clinically useful measure of treatment effect [published erratum appears in BMJ 1995;310(6986): 1056] RMJ 1995;310(6977):452-4
- 1056]. BMJ 1995;310(6977):452-4.
 Gladstone DJ, Hill MD, Black SE. tPA for acute stroke: balancing baseline imbalances [letter]. CMAJ 2002;166(13):1652-3. Available: www.cmaj.ca/cgi/content/full/166/13/1652
- Altman DG. Confidence intervals for the number needed to treat. BMJ 1998; 317(7168):1309-12.
- Hutton JL. Number needed to treat: properties and problems. J R Stat Soc Ser A Stat Soc 2000;163:403-15.
- Lesaffre E, Pledger G. A note on the number needed to treat. Control Clin Trials 1999;20(5):439-47.
- Smeeth L, Haines A, Ebrahim S. Numbers needed to treat derived from meta-analyses — sometimes informative, usually misleading. BMJ 1999; 318(7197):1548-51.
- Newcombe RG. Interval estimation for the difference between independent proportions: comparison of eleven methods. Stat Med 1998;17(8):873-90.
- Bender R. Calculating confidence intervals for the number needed to treat. Control Clin Trials 2001;22(2):102-10.

Correspondence to: Dr. Nicholas J. Barrowman, Chalmers Research Group, Children's Hospital of Eastern Ontario Research Institute, 401 Smyth Rd., Ottawa ON K1H 8L1; fax 613 738-4869; nbarrowman@exchange.cheo.on.ca



What are the key issues affecting health care in Canada?

The CMA has created the CMA Series of Health Care Discussion Papers to examine key issues in the health care arena and their impact on the future of health and health care in Canada. Titles in the series can be viewed and printed conveniently from your computer, without charge, by accessing the CMA Web site at CMA.CA.

Current titles include:

- Professionalism in Medicine
- Specialty Care in Canada: Issue Identification and Policy Challenges
- In Search of Sustainability: Prospects for Canada's Health Care System
- Looking at the Future of Health, Health Care and Medicine

Additional titles will be added as the CMA continues to identify and investigate the important issues that affect the delivery of health care in Canada.

Visit CMA.CA for the

CMA Series of Health Care Discussion Papers



Leadership for physicians Health for Canadians