

## *Appendix: Key guiding principles for health research and data governance*

### **OCAP® principles to guide First Nations research data (1)**

**Ownership:** specifies the collective relationship of First Nations to knowledge, information and data

**Control:** First Nations, their communities and representatives have the right to control all aspects of research and data management, from collection, use, disclosure and destruction of data

**Access:** First Nations must be able to access information and data about themselves and communities

**Possession:** clear physical control of data, and the mechanism by which ownership is asserted and protected

### **OCAS principles to guide Métis research data (2)**

**Ownership:** legal possession of data, e.g. the Métis Population Data-Base in Manitoba

**Control:** the “power to make decisions about something and decide what should happen”

**Access:** the “right or opportunity to use something that will bring benefit”

**Stewardship:** “responsible planning and management of resource” so research that is completed is in the best interests of Métis health, and will improve health and health services delivery

### **Inuit Qaujimajatuqangit (IQ) principles related to knowledge to support wellness (3)**

1. **Pijitsirniq:** the concept of serving

2. **Aajiiqatigiingniq:** the concept of consensus decision-making

3. **Pilimmaksarniq:** the concept of skills and knowledge acquisition

4. **Piliriqatigiingniq:** the concept of collaborative relationships or working together for a common purpose

5. **Avatimik Kamattiarniq:** the concept of environmental stewardship

6. **Qanuqtuurunnarniq:** the concept of being resourceful to solve problems

### **CARE Principles for Indigenous Data Governance (4)**

**Collective benefit:** Indigenous data used for inclusive development and innovation, improved governance and citizen engagement, and to realize equitable outcomes

**Authority to control:** Indigenous peoples determine data governance protocols, and are actively involved in stewardship decisions

**Responsibility:** a duty to nurture respectful relationships with Indigenous peoples from whom the data originates, including capacity development and embedding data within Indigenous languages and cultures

**Ethics:** a focus on Indigenous peoples rights and wellbeing, including minimizing harm, maximizing benefits, promoting justice and allowing for future use

### **Black Health Equity Working Group principles of data governance (5)**

**Engagement:** genuine, cyclical, accessible consultation with communities

**Governance:** community decision-making about engagement and data collection, management, analysis and use

**Access:** communities are able to access their collective data and determine who can access it

**Protection:** safeguarding of individual rights around identifiable, de-identified, and anonymized data

## **References**

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2. University of Manitoba Faculty of Health Sciences. Framework for research engagement with First Nation, Metis and Inuit peoples. 2019.
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4. Carroll SR, Garba I, Figueroa-Rodríguez OL, Holbrook J, Lovett R, Materechera S, et al. The CARE Principles for Indigenous Data Governance. *Data Sci J* [Internet]. 2020 Nov 4;19. Available from: <http://datascience.codata.org/articles/10.5334/dsj-2020-043/>
5. Group BHEW. Engagement, Governance, Access, and Protection (EGAP): A data governance framework for health data collected from Black communities. Toronto, ON; 2021.