Appendix 1 (as supplied by the authors): Supplementary Content

Supplemental Methods. Detailed protocol

S1 Table. Ovid MEDLINE® In-Process & Other Non-Indexed Citations and Ovid MEDLINE®

S2 Table. EMBASE

S3 Table. Database of Abstracts of Reviews of Effects (DARE)

S4 Table. Cochrane Central Register of Controlled Trials (CENTRAL)

S5 Table. Cochrane Database of Systematic Reviews (CDSR)

S6 Table. Health Technology Assessment (HTA)

S7 Table. Collective Index of Nursing and Allied Health Literature (CINAHL)

S8 Table. PsycINFO **S9 Table.** SCOPUS

S10 Table. Conference abstracts searched

S11 Table. Summary of authors contacted and unpublished data

S12 Table. Newcastle-Ottawa Scale summary of risk of bias for cohort and case-control studies

S13 Table. GRADE quality of evidence summary

S1 Fig. Cochrane Risk of Bias Tool risk of bias summary for each included RCT

Supplemental Methods. Detailed protocol

Protocol

Respiratory protective equipment for health care workers: Systematic review and meta-analysis of evidence of N95 filtering facepiece respirators versus surgical mask protection from acute respiratory infection

- 1.0 Introduction (Omitted from supplementary online content)
- 2.0 Objectives
- 3.0 Methods
 - 3.1 Search strategy
 - 3.2 Selection of studies
 - 3.2.1 Eligibility criteria
 - 3.2.1.1 Types of studies
 - 3.2.1.2 Types of articles
 - 3.2.1.3 Types of participants
 - 3.2.1.4 Types of interventions
 - 3.2.1.5 Types of comparisons
 - 3.2.1.6 Types of outcomes measured
 - 3.2.1.7 Date of publication
 - 3.2.1.8 Exclusions
 - 3.2.2 Coding screening
 - 3.2.3 Screening of studies
 - 3.3 Reference database
 - 3.4 Data extraction
 - 3.5 Quality assessment
 - 3.6 Data analysis
 - 3.6.1 Meta-analyses
 - 3.6.2 Data pooling and statistical analysis
 - 3.6.3 Assessment of heterogeneity
 - 3.6.4 Sensitivity analysis
- 4.0 Appendix (Omitted from supplementary online content)
 - 4.1 Search strategies (performed 05-26-2014 and updated 09-12-2014) (Omitted from supplementary online content)

References (Omitted from supplementary online content)

2.0 Objectives

To identify and synthesize evidence of N95 respirators compared to surgical masks to prevent acute respiratory infections (ARIs) when worn by health care workers (HCWs).

3.0 Methods

To ensure consistency and avoid bias in the review process the methods regarding search strategy, study selection, risk of bias and quality assessment of studies, data extraction and data analysis will be determined prior to data collection.

3.1 Search strategy

The following databases will be searched for pertinent studies (Appendix A): MEDLINE, EMBASE, Database of Abstracts of Reviews of Effects, Cochrane Central Register of Controlled Trials, Cochrane Database of Systematic Reviews, Health Technology Assessment, Collective Index of Nursing and Allied Health Literature, PsycINFO, SCOPUS, and reference scan of reviews from the past 5 years and included primary articles for additional studies for inclusion. Grey literature searches will be performed for unpublished data by searching organizational websites responsible for guidance documents, policies, and certification of respirators and surgical masks.

3.2 Selection of studies

Studies will be selected based on a set of eligibility criteria that will be defined prior to performing the search.

3.2.1 Eligibility criteria

Eligibility criteria will cover various aspects including types of studies, types of articles, types of participants, types of interventions and comparators, types of outcomes measured, date of publication, and exclusion criteria.

3.2.1.1 Types of studies

The types of studies that will be included are randomized controlled trials, cohort, case-control and surrogate exposure studies (i.e. experiments involving manikins or volunteers exposed to artificially produced aerosols).

3.2.1.2 Types of articles

Primary literature will be assessed for inclusion. Reviews will be considered for reference searching, but not included in the systematic review. Grey literature will be assessed to find unpublished data from conference abstracts.

The following conferences will be searched for relevant abstracts: American Industrial Hygiene Conference and Exposition (AIHce), American Society for Healthcare Risk Management (ASHRM) Annual Conference and Exhibition, International Congress of the Asia Pacific Society of Infection Control (APSIC), Association for Professionals in Infection Control and Epidemiology (APIC) Annual Conference, Association of Medical Microbiology and Infectious Disease Canada (AMMI) - Canadian Association for Clinical Microbiology and Infectious Diseases (CACMID) Annual Conference, Australasian College for Infection Prevention and Control (ACIPC) Annual Conference, Australasian Society for Infectious Diseases (ASID) Annual Scientific Meeting, The Australian Society for Microbiology Annual Scientific Meeting, American Society for Microbiology (ASM) Biodefense and Emerging Diseases Conference, American Society for Microbiology (ASM) General Meeting, American Thoracic Society (ATS) Conference, Congress of the European Rhinologic Society (ERS), European Congress of Clinical Microbiology and Infectious Diseases (ECCMID), Healthcare Infection Society (HIS) International Conference, Hong Kong Infection Control Nurses' Association (HKICNA) International Infection Control Conference, Infectious Diseases Society of America (IDSA) Annual Meeting, Infection Prevention and Control (IPAC) Canada National Education Conference (formerly CHICA), Infection Prevention Society (IPS) Annual Conference, International Conference on Prevention and Infection Control (ICPIC), International Congress on Infectious Diseases (ICID), Congress of the International Federation of Infection Control (IFIC), International Meeting on Emerging Diseases and Surveillance (IMED), International Union of Microbiological Societies (IUMS) Congresses (Bacteriology, Virology and Mycology), and Society for Healthcare Epidemiology of America (SHEA) Annual Meeting.

We will search for publication of conference abstracts obtained through our grey literature search. If a conference abstract has been published, the conference abstract will not be used for data extraction or analysis to avoid duplication of data. Conference abstracts that have been published will be used to assess reporting bias. Unpublished conference abstracts will be used in funnel plots to assess publication bias.

3.2.1.3 Types of participants

Articles will ideally have health care workers as participants in a health care setting. Health care workers is implied as a broad term for any workers that are exposed to patients with ARI. Studies with manikins or volunteers in a simulated health care setting will also be considered. Animal models and studies solely involving protection of patients or community populations will be excluded.

3.2.1.4 Types of interventions

Study designs will assess the use of National Institute for Occupational Safety and Health (NIOSH) certified N95 filtering facepiece respirators compared to surgical masks. Certification must be under Title 42 CFR Part 84. Respirators certified under the former Title 30 CFR Part 11 are ineligible. European standard filtering facepiece (FFP2) respirator data will be included as N95 filtering facepiece respirator data. Elastomeric facepiece respirators will not be included because these respirators are not in widespread use in health care settings. The term surgical mask is considered equivalent to procedural masks, isolation masks, laser masks, fluid resistant masks, and face masks with particle filtration efficiencies of 95% or greater and bacterial filtration efficiencies of 95% or greater. Surgical masks must be Food and Drug Administration (FDA) approved, or have an equivalent certification for use as a medical device, otherwise the surgical masks used cannot be considered suitable as a medical device for use in a hospital setting. Other types of respirators and surgical masks not explicitly included above will be excluded.

3.2.1.5 Types of comparisons

Studies must contain a comparison of eligible respirators to eligible surgical masks outlined in section 3.2.1.4.

3.2.1.6 Types of outcomes measured

Outcomes measured will depend on the study design.

Randomized controlled trials: laboratory-confirmed respiratory infection (polymerase chain reaction (PCR) of respiratory viruses and *B. pertussis*, serology-confirmed respiratory viruses and *B. pertussis*, viral culture, pertussis bacterial culture), influenza-like illness (ILI), and workplace absenteeism due to hospital acquired respiratory infections.

Case-control and cohort studies: laboratory-confirmed respiratory infection (PCR of respiratory viruses and *B. pertussis*, serology-confirmed respiratory viruses and *B. pertussis*, viral culture, pertussis bacterial culture), influenza-like illness (ILI), and workplace absenteeism due to hospital acquired respiratory infections.

Surrogate exposure studies: surrogate measures of protection include filter penetration, face-seal leakage, and total inward leakage. Viability of bioaerosols is of interest for comparative study designs with bioaerosols used as challenge particles. Lastly, the distribution of size of particles penetrating respirators and surgical masks will be recorded if measured. Other outcomes for surrogate exposure studies not explicitly reported here that could not be foreseen and are deemed important for inclusion will also be extracted.

3.2.1.7 Date of publication

Articles published since January 01, 1990 will be considered for inclusion. This date marks 4 years prior to when N95 respirators became a part of standard HCW respiratory protective equipment in the United States due to a policy put in place by Occupational Safety and Health Administration (OSHA) as a response to HCW exposure to drug-resistant TB. Four years is a reasonable period of time to capture articles that informed the decision to implement standard use of N95 respirators in health care settings.

The grey literature search for conference abstracts will be limited to the past 5 years. We expect abstracts prior to 5 years would already be published as full papers. We recognize that abstracts from conferences may be irretrievable. A summary of conference abstracts obtained and unobtainable will be provided as an appendix.

3.2.1.8 Exclusions

The following will be criteria for exclusion: case series, case reports, opinions, commentary, letter to editor, or any other study designs that are not listed in section 3.2.1.1, not peer-reviewed, not English language, not using eligible respiratory protective equipment described in section 3.2.1.4, facial protection described in section 3.2.1.4 is not compared for any relevant outcome, only patient protection, only use of masks/respirators in public population settings, only prevention of transmission from patients (patients donning masks/respirators), use of masks or

respirators not related to protection against ARIs (i.e. prevention of surgical site infections, bloodborne pathogen infection prevention, and surgical smoke), and animal models. Note: non-English language articles will be filtered at the level of the search strategy.

3.2.2 Coding screening

During the screening process the reviewers will code articles as "include", "exclude" or "unsure". These three categories will be used for calculating the Kappa statistic.

For the purpose of producing a study flow diagram the reviewers will code excluded studies numerically according to the reason for exclusion. In the event that reviewers select differing reasons for exclusion, the reason for exclusion assigned to an article and reported in the flow diagram will reflect the lowest numerical value assigned. The lowest numerical value corresponds to the highest priority reason for exclusion in the hierarchy of reasons for exclusion:

- 1. Not related to protection against ARIs
- 2. Neither a randomized controlled trial, cohort, case-control nor surrogate exposure study
- 3. Lack of comparison of eligible interventions
- 4. Improper participant population
- 5. Not peer-reviewed

3.2.3 Screening of studies

Two reviewers will independently screen studies based on the eligibility criteria defined in section 3.2.1. First, titles and abstracts will be screened for eligibility. A kappa statistic will be calculated to determine agreement of the reviewer's designation of inclusion or exclusion of primary articles. A kappa statistic of > 0.60 will be considered good agreement. If the kappa statistic is less than 0.60, the reviewers will discuss reasons for disagreement and revisit any eligibility criteria found to be related to the disagreement. Any changes to the protocol arising from this discussion will be reported. Next, full text screens will be completed on all titles and abstracts coded as include or unsure by at least 1 reviewer. Only full texts coded as include by both reviewers will be included in data extraction and analysis. In the event of a disagreement between reviewers for inclusion of full texts for data extraction and analysis the reviewers will attempt to reach consensus. A third reviewer for arbitration will be assigned if consensus cannot be reached between the first two reviewers.

3.3 Reference database

All references will be documented and stored using RefWorks.

3.4 Data extraction

A unified data extraction template will be used based on a piloted subset of articles. Data extraction will occur prior to quality assessment and only for studies that are slated for final inclusion. Data will be extracted independently by two reviewers. The data obtained from studies includes information pertinent to statistical data as well as general information about the study: location of study, study design, aim/objective, methodology information, respirator/mask types and number of participants allocated to each intervention, reported findings/conclusions and statistical analysis, and risk of bias/confounders/limitations. Best efforts to contact first authors or corresponding authors for additional information or missing data will be made when necessary.

3.5 Quality assessment

Outcome-specific quality of the body of evidence will be assessed using the GRADE framework. Quality using GRADE is reported as high quality, moderate quality, low quality and very-low quality. ¹

Risk of bias analysis will be included in the assessment of study design. Randomized controlled trials will be explicitly assessed for selection bias, performance bias, detection bias, attrition bias, reporting bias, and other sources of bias (i.e. recruitment bias for cluster-randomization) according to the Cochrane Risk of Bias Tool.² Cohort and case-control studies will be assessed for risk of design-specific bias using each respective Newcastle-Ottawa Scale.³

Confounding variables predicted to influence protection from ARI include compliance with use of intervention, vaccination status, proper selection of respirator or surgical mask, fit testing of respirator and user seal check, use of other PPE and hand hygiene, engineering and administrative controls that differ between populations, and proper donning and doffing techniques of respirators and surgical masks. Potential confounders will be assessed per study.

Quality of the studies will be considered during the discussion of outcomes and used in sensitivity analysis. Risk of bias will be used for pooling randomized controlled trials for meta-analysis.

3.6 Data analysis

The applicability of the surrogate measures from surrogate exposure studies are currently unclear and will be interpreted with caution. Similarly, observational studies will be approached with caution. We intend to make conclusions on the best available evidence (i.e. current data from randomized controlled trials). The purpose of including surrogate exposure studies will be to demonstrate the direction of the potential effect of respirator and surgical mask use on the rates of ARI and the theoretical protection in terms of surrogate measures.

Review Manager ((RevMan) [Computer program]. Version 5.2. Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2012) will be used for data analysis.

3.6.1 Meta-analyses

Meta-analyses of cohort studies, case-control studies, and RCTs, separately, will be conducted. Data will be measured on dichotomous outcomes (confirmed respiratory infection by PCR, serology or culture, and ILI) and continuous outcomes (workplace absenteeism). Random-effects meta-analysis for dichotomous outcomes (inverse variance) will be used. Inverse variance random-effects method will be used for meta-analysis of continuous outcomes. Surrogate exposure studies will be omitted from meta-analysis and will instead be discussed narratively because the methodologies are highly variable and outcomes measured are not all the same.

3.6.2 Data pooling and statistical analysis

Randomized controlled trials and observational studies will be eligible for pooling and meta-analysis. Case-control studies and cohort studies with study design features that are drastically different will not be pooled. Where data can be combined for meta-analyses, these data will be reported as odds ratios. Adjusted odds ratios will be used whenever available. Odds ratio effect measure with 95% confidence intervals will be used as a summary statistic presented on forest plots. For data reported as hazard ratios, risk ratios or otherwise, the data will be converted to odds ratios for pooling. Where data cannot be or will not be combined for meta-analyses, a narrative discussion of data will be provided. Each outcome measure will be pooled and analyzed separately. These outcomes are described in section 3.2.1.5.

If an adjusted value is not already reported, cluster-randomized controlled trials will be adjusted for meta-analysis with individual-randomized controlled trials. The intraclass correlation coefficient (ICC) will be used to determine the design effect. The design effect will be used to determine the effective sample size. When the effective sample size is not a whole number, the effective sample size will be rounded to the nearest whole number.

Similar intervention groups in multi-arm clinical trials will be grouped. For example, a clinical trial could compare non-fit tested N95 and fit tested N95 to surgical masks. The N95 arms would be grouped.

For meta-analyses involving rare events, any zero-cell count events will be adjusted by including a correction that is the reciprocal of the size of the contrasting study arm⁴.

3.6.3 Assessment of heterogeneity

Studies will be stratified by risk of bias rating. Significant heterogeneity of studies is detected using χ^2 (chi-square) and I² values: χ^2 of <0·10 or an I² statistic of >50% will reflect significant heterogeneity.^{5,6} Given the knowledge of the dearth of information available on this topic, subgroup analysis will be performed only if there are more than 5 pooled studies and when significant heterogeneity is present. Subgroup analysis will be performed temporally (during an outbreak, during a seasonal timeframe of a particular ARI under study, or timeframes that do not fall in the former two categories), nurses and other health care workers, regions of positive community view on mask usage and neutral, unknown or negative views on mask usage, and studies accounting for community acquired respiratory infections and studies that do not account for community acquired respiratory infections. If heterogeneity exists, laboratory-confirmed respiratory infections will each be further subdivided by viral and bacterial etiology.

3.6.4 Sensitivity analysis

Studies that are assigned as very low or low quality, use unadjusted statistics or have inadequate adjustment for confounders will be set as an exclusion for secondary meta-analysis.

Deviations from Protocol

We had intended to solely include surgical masks that were FDA approved or certified for use as a medical device. However, we were unable to confirm certification of all surgical masks in included studies, but did not exclude data from those surgical masks.

Two post-hoc meta-analyses were requested by editors. Specifically, to pool observational studies, and, for the purpose described in the paper, to pool all study designs.

S1 Table. Ovid MEDLINE® In-Process & Other Non-Indexed Citations and Ovid MEDLINE®

#	Searches			
1	Masks/ or Protective Devices/ or Respiratory Protective Devices/			
2	(masks or mask or facemask\$ or respirator or respirators or N95 or FFP2 or "personal protection			
	equipment" or "personal protective equipment").ti,ab,kf.			
3	1 or 2			
4	Aerosols/ or Particle Size/ or Particulate Matter/ or Adenovirus Infections, Human/ or Adenoviruses,			
	Human/ or Bacteria/ or Bacterial Infections/ or Bordetella Infections/ or Bordetella pertussis/ or Chickenpox/ or Communicable Diseases, Emerging/ or Communicable Diseases/ or Coronavirus Infections/ or Coronavirus/ or Disease Outbreaks/ or exp Cross Infection/ or exp Disease Transmission, Infectious/ or exp Haemophilus influenzae/ or exp Orthomyxoviridae/ or exp Pneumonia, Bacterial/ or exp Pneumovirus Infections/ or exp Respiratory Tract Infections/ or exp Respirovirus Infections/ or Gram-Negative Bacterial Infections/ or Herpesvirus 3, Human/ or Infection Control/ or Infection/ or Influenza, Human/ or Metapneumovirus/ or Paramyxoviridae Infections/ or Pneumonia, Viral/ or Pneumonia/ or Respiratory Syncytial Virus Infections/ or Respiratory Syncytial Virus, Human/ or Respiratory Syncytial Viruses/ or Respiratory Tract Diseases/ or Rhinovirus/ or SARS Virus/ or Severe Acute Respiratory Syndrome/ or transmission.fs. or Virus Diseases/ or Viruses/ or Whooping Cough/			
5	(particle\$ or "particulate matter" or aerosol\$ or bioaerosol\$ or (acute adj2 respiratory) or adenovirus\$ or airborne\$ or ARI or bacteri\$ or chickenpox or "chicken pox" or communicable\$ or coronavirus or CRI or cross infect\$ or disease\$ or droplet\$ or (emerg\$ adj2 pathogen\$) or epidemic\$ or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or "hospital acquired" or "hospital associated" or HiB or ILI or illness\$ or incidence or infect\$ or influenza\$ or measles or MERS or metapneumovirus\$ or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak\$ or pandemic\$ or parainfluenza or paramyxoviridae or particle\$ or pathogen\$ or pneumonia\$ or (respiratory adj2 disease\$) or (respiratory adj2 illness\$) or (respiratory adj2 infection\$) or "respiratory hygiene" or ("respiratory syncytial" adj1 virus\$) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick\$ or syncytial or transmission or varicella or viral or virion\$ or virus\$ or pertussis or "whooping cough").ti,ab,kf.			
7	Filtration/ or exp Occupational Exposure/ or Inhalation Exposure/ or exp Microbial Viability/			
8	("face seal" or faceseal or face-seal or filter\$ or filtrat\$ or leak\$ or penetrat\$ or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria\$ viability" or "virus viability" or "virus culture").ti,ab,kf.			
9	7 or 8			
10	exp Health Personnel/			
11	(doctor\$ or physician\$ or clinician\$ or nurse\$ or nursing or paramedic\$ or ((health\$ or hospital\$ or nurs\$ or clinical or care or medical\$) adj2 (practitioner\$ or staff or personnel or worker\$ or employee\$ or provider\$ or professional\$)) or HCW or HCP).ti,ab,kf.			
12	10 or 11			
13	Manikins/ or "Nebulizers and Vaporizers"/			
14	("breathing simulator" or "cascade impaction" or (air adj sampler\$) or "head form\$" or headform\$ or "head-form\$" or airtight or chamber\$1 or hood\$1 or man?equin\$ or man?ikin\$ or nebulizer\$ or simulat\$ or surrogate\$ or human\$ or volunteer\$ or subject\$).ti,ab,kf.			
15	13 or 14			
16	3 and (6 or 9) and (12 or 15)			
17	limit 16 to english language			
18	limit 17 to yr="1990 -Current"			
19	remove duplicates from 18			

S2 Table. EMBASE

#	Searches				
1	exp mask/ or face mask/ or surgical mask/ or protective equipment/ or ventilator/				
2	(masks or mask or facemask\$ or respirator or respirators or N95 or FFP2 or "personal protection				
	equipment" or "personal protective equipment").ti,ab,kw.				
3	1 or 2				
4	aerosol/ or particle size/ or particulate matter/ or human adenovirus infection/ or human adenovirus/				
	or bacterium/ or bacterial infection/ or chickenpox/ or communicable disease/ or Coronavirus infection/ or Coronavirus/ or SARS coronavirus/ or cross infection/ or hospital infection/ or airborne infection/ or healthcare associated infection/ or epidemic/ or pandemic/ or disease transmission/ or virus transmission/ or bacterial transmission/ or exp Haemophilus influenzae/ or exp Orthomyxovirus/ or bacterial pneumonia/ or pneumonia/ or virus pneumonia/ or exp infectious pneumonia/ or severe acute respiratory syndrome/ or exp Pneumovirus infection/ or exp respiratory tract infection/ or respiratory tract disease/ or exp Respirovirus infection/ or Rhinovirus infection/ or exp Human rhinovirus/ or Rhinovirus/ or Respiratory syncytial pneumovirus/ or respiratory syncytial virus infection/ or exp influenza/ or infection/ or infection control/ or infection prevention/ or infection risk/ or paramyxovirus infection/ or virus infection/ or virus/ or Varicella zoster virus/ or metapneumovirus/ or human metapneumovirus/ or Gram negative infection/ or pertussis/ or Bordetella pertussis/ or bordetellosis/				
5	(particle\$ or "particulate matter" or aerosol\$ or bioaerosol\$ or (acute adj2 respiratory) or adenovirus\$ or airborne\$ or ARI or bacteri\$ or chickenpox or "chicken pox" or communicable\$ or coronavirus or CRI or cross infect\$ or disease\$ or droplet\$ or (emerg\$ adj2 pathogen\$) or epidemic\$ or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or "hospital acquired" or "hospital associated" or HiB or ILI or illness\$ or incidence or infect\$ or influenza\$ or measles or MERS or metapneumovirus\$ or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak\$ or pandemic\$ or parainfluenza or paramyxoviridae or particle\$ or pathogen\$ or pneumonia\$ or (respiratory adj2 disease\$) or (respiratory adj2 illness\$) or (respiratory adj2 infection\$) or "respiratory hygiene" or ("respiratory syncytial" adj1 virus\$) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick\$ or syncytial or transmission or varicella or viral or virion\$ or virus\$ or pertussis or "whooping cough").ti,ab,kw.				
6	4 or 5				
7	filtration/ or occupational exposure/ or exposure/ or exp microbial viability/				
8	("face seal" or faceseal or face-seal or filter\$ or filtrat\$ or leak\$ or penetrat\$ or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria\$ viability" or "virus viability" or "virus culture").ti,ab,kw.				
9	7 or 8				
10	exp health care personnel/				
11	(doctor\$ or physician\$ or clinician\$ or nurse\$ or nursing or paramedic\$ or ((health\$ or hospital\$ or nurs\$ or clinical or care or medical\$) adj2 (practitioner\$ or staff or personnel or worker\$ or employee\$ or provider\$ or professional\$)) or HCW or HCP).ti,ab,kw.				
12	10 or 11				
13	simulation/ or exp nebulizer/				
14	("breathing simulator" or "cascade impaction" or (air adj sampler\$) or "head form\$" or headform\$ or "head-form\$" or airtight or chamber\$1 or hood\$1 or man?equin\$ or man?ikin\$ or nebulizer\$ or simulat\$ or surrogate\$ or human\$ or volunteer\$ or subject\$).ti,ab,kw.				
15	13 or 14				
16	3 and (6 or 9) and (12 or 15)				
17	limit 16 to english language				
18	limit 17 to yr="1990 -Current"				
19	limit 18 to exclude medline journals				

S3 Table. Database of Abstracts of Reviews of Effects (DARE)

#	Query				
S1	masks OR mask OR facemask* OR respirator OR respirators OR "n95" OR "ffp2" OR "personal				
	protection equipment" OR "personal protective equipment"				
S2	particle* or "particulate matter" or aerosol* or bioaerosol* or (acute N2 respiratory) or adenovirus* or airborne* or ARI or bacteri* or chickenpox or "chicken pox" or communicable* or coronavirus or CRI or cross infect* or disease* or droplet* or (emerg* N2 pathogen*) or epidemic* or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or HiB or ILI or illness* or incidence or infect* or influenza* or measles or MERS or metapneumovirus* or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak* or pandemic* or parainfluenza or paramyxoviridae or particle* or pathogen* or pneumonia* or (respiratory N2 disease*) or (respiratory N2 illness*) or (respiratory N2 infection*) or "respiratory hygiene" or ("respiratory syncytial" N1 virus*) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick* or syncytial or transmission or varicella or viral or virion* or virus* or pertussis or "whooping cough"				
S3	"face seal" or faceseal or face-seal or filter* or filtrat* or leak* or penetrat* or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria* viability" or "virus viability" or "virus culture"				
S4	doctor* or physician* or clinician* or nurse* or nursing or paramedic* or ((health* or hospital* or nurs* or clinical or care or medical*) N2 (practitioner* or staff or personnel or worker* or employee* or provider* or professional*)) or HCW or HCP				
S5	"breathing simulator" or "cascade impaction" or (air N3 sampler*) or "head form*" or headform* or "head-form*" or airtight or chamber* or hood* or man#equin* or man#ikin* or nebulizer* or simulat* or surrogate* or human* or volunteer* or subject*				
S6	S1 AND (S2 OR S3) AND (S4 OR S5)				

S4 Table. Cochrane Central Register of Controlled Trials (CENTRAL)

#	Query				
S1	masks OR mask OR facemask* OR respirator OR respirators OR "n95" OR "ffp2" OR "personal				
	protection equipment" OR "personal protective equipment"				
S2	particle* or "particulate matter" or aerosol* or bioaerosol* or (acute N2 respiratory) or adenovirus* or airborne* or ARI or bacteri* or chickenpox or "chicken pox" or communicable* or coronavirus or CRI or cross infect* or disease* or droplet* or (emerg* N2 pathogen*) or epidemic* or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or "hib or ILI or illness* or incidence or infect* or influenza* or measles or MERS or metapneumovirus* or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak* or pandemic* or parainfluenza or paramyxoviridae or particle* or pathogen* or pneumonia* or (respiratory N2 disease*) or (respiratory N2 illness*) or (respiratory N2 infection*) or "respiratory hygiene" or ("respiratory syncytial" N1 virus*) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick* or syncytial or transmission or varicella or viral or virion* or virus* or pertussis or "whooping cough"				
S3	"face seal" or faceseal or face-seal or filter* or filtrat* or leak* or penetrat* or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria* viability" or "virus viability" or "virus culture"				
S4	doctor* or physician* or clinician* or nurse* or nursing or paramedic* or ((health* or hospital* or nurs* or clinical or care or medical*) N2 (practitioner* or staff or personnel or worker* or employee* or provider* or professional*)) or HCW or HCP				
S5	"breathing simulator" or "cascade impaction" or (air N3 sampler*) or "head form*" or headform* or "head-form*" or airtight or chamber* or hood* or man#equin* or man#ikin* or nebulizer* or simulat* or surrogate* or human* or volunteer* or subject*				
S6	S1 AND (S2 OR S3) AND (S4 OR S5)				

S5 Table. Cochrane Database of Systematic Reviews (CDSR)

#	Query			
S1	masks OR mask OR facemask* OR respirator OR respirators OR "n95" OR "ffp2" OR "personal			
	protection equipment" OR "personal protective equipment"			
S2	particle* or "particulate matter" or aerosol* or bioaerosol* or (acute N2 respiratory) or adenovirus* or airborne* or ARI or bacteri* or chickenpox or "chicken pox" or communicable* or coronavirus or CRI or cross infect* or disease* or droplet* or (emerg* N2 pathogen*) or epidemic* or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or HiB or ILI or illness* or incidence or infect* or influenza* or measles or MERS or metapneumovirus* or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak* or pandemic* or parainfluenza or paramyxoviridae or particle* or pathogen* or pneumonia* or (respiratory N2 disease*) or (respiratory N2 illness*) or (respiratory N2 infection*) or "respiratory hygiene" or ("respiratory syncytial" N1 virus*) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick* or syncytial or transmission or varicella or viral or virion* or virus* or pertussis or "whooping cough"			
S3	"face seal" or faceseal or face-seal or filter* or filtrat* or leak* or penetrat* or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria* viability" or "virus viability" or "virus culture"			
S4	doctor* or physician* or clinician* or nurse* or nursing or paramedic* or ((health* or hospital* or nurs* or clinical or care or medical*) N2 (practitioner* or staff or personnel or worker* or employee* or provider* or professional*)) or HCW or HCP			
S5	"breathing simulator" or "cascade impaction" or (air N3 sampler*) or "head form*" or headform* or "head-form*" or airtight or chamber* or hood* or man#equin* or man#ikin* or nebulizer* or simulat* or surrogate* or human* or volunteer* or subject*			
S6	S1 AND (S2 OR S3) AND (S4 OR S5)			

S6 Table. Health Technology Assessment (HTA)

#	Query				
S1	masks OR mask OR facemask* OR respirator OR respirators OR "n95" OR "ffp2" OR "personal				
	protection equipment" OR "personal protective equipment"				
S2	particle* or "particulate matter" or aerosol* or bioaerosol* or (acute N2 respiratory) or adenovirus* or airborne* or ARI or bacteri* or chickenpox or "chicken pox" or communicable* or coronavirus or CRI or cross infect* or disease* or droplet* or (emerg* N2 pathogen*) or epidemic* or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or "his or ILI or illness* or incidence or infect* or influenza* or measles or MERS or metapneumovirus* or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak* or pandemic* or parainfluenza or paramyxoviridae or particle* or pathogen* or pneumonia* or (respiratory N2 disease*) or (respiratory N2 illness*) or (respiratory N2 infection*) or "respiratory hygiene" or ("respiratory syncytial" N1 virus*) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick* or syncytial or transmission or varicella or viral or virion* or virus* or pertussis or "whooping cough"				
S3	"face seal" or faceseal or face-seal or filter* or filtrat* or leak* or penetrat* or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria* viability" or "virus viability" or "virus culture"				
S4	doctor* or physician* or clinician* or nurse* or nursing or paramedic* or ((health* or hospital* or nurs* or clinical or care or medical*) N2 (practitioner* or staff or personnel or worker* or employee* or provider* or professional*)) or HCW or HCP				
S5	"breathing simulator" or "cascade impaction" or (air N3 sampler*) or "head form*" or headform* or "head-form*" or airtight or chamber* or hood* or man#equin* or man#ikin* or nebulizer* or simulat* or surrogate* or human* or volunteer* or subject*				
S6	S1 AND (S2 OR S3) AND (S4 OR S5)				

S7 Table. Collective Index of Nursing and Allied Health Literature (CINAHL)

Query	Limiters/Expanders
	Search modes -
	Boolean/Phrase
	Search modes -
	Boolean/Phrase
S1 OR S2	Search modes -
	Boolean/Phrase
(MH "Aerosols") OR (MH "Particle Size") OR (MH "Particulate Matter") OR (MH "Bacteria") OR (MH "Bacterial Infections") OR (MH "Bordetella Pertussis") OR (MH "Whooping Cough") OR (MH "Chickenpox") OR (MH "Communicable Diseases") OR (MH "Coronavirus") OR (MH "Coronavirus Infections") OR (MH "SARS Virus") OR (MH "Cross Infection+") OR (MH "Disease Outbreaks") OR (MH "Disease Transmission+") OR (MH "Haemophilus Influenzae") OR (MH "Orthomyxoviridae+") OR (MH "Pneumonia, Bacterial+") OR (MH "Respiratory Tract Infections+") OR (MH "Respiratory Syncytial Virus Infections") OR (MH "Respiratory Syncytial Virus Infections") OR (MH "Respiratory Syncytial Virus Infections") OR (MH "Respiratory Syncytial Viruses") OR (MH "Infection Control") OR (MH "Influenza, Human") OR (MH "Paramyxovirus Infections+") OR (MH "Paramyxoviruses+") OR (MH "Pneumonia, Viral") OR (MH "Pneumonia") OR (MH "Respiratory Tract Diseases") OR (MH "Severe Acute Respiratory Syndrome") OR (MH "Virus Diseases") OR (MH "DNA)	Search modes - Boolean/Phrase
Viruses") OR (MH "Herpesviruses") OR (MH "RNA Viruses+")	
(acute N2 respiratory) or adenovirus* or airborne* or ARI or bacteri* or chickenpox or "chicken pox" or communicable* or coronavirus or CRI or cross infect* or disease* or droplet* or (emerg* N2 pathogen*) or epidemic* or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "healthcare acquired" or "healthcare associated" or "hospital acquired" or "hospital associated" or HiB or ILI or illness* or incidence or infect* or influenza* or measles or MERS or metapneumovirus* or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or outbreak* or pandemic* or parainfluenza or paramyxoviridae or particle* or pathogen* or pneumonia* or (respiratory N2 disease*) or (respiratory N2 illness*) or (respiratory N2 infection*) or "respiratory hygiene" or ("respiratory syncytial" N1 virus*) or "respiratory tract" or rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick* or syncytial or transmission or varicella or viral or virion* or virus* or pertussis or "whooping cough"	Search modes - Boolean/Phrase
S4 OR S5	Search modes - Boolean/Phrase
(MH "Filtration") OR (MH "Occupational Exposure") OR (MH	Search modes -
	Boolean/Phrase
"face seal" or faceseal or face-seal or filter* or filtrat* or leak* or penetrat* or "viral viability" or "viral culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile"	Search modes - Boolean/Phrase
	(MH "Masks") OR (MH "Protective Devices") OR (MH "Respiratory Protective Devices") masks OR mask OR facemask* OR respirator OR respirators OR "n95" OR "ffp2" OR "personal protection equipment" OR "personal protective equipment" S1 OR S2 (MH "Aerosols") OR (MH "Particle Size") OR (MH "Particulate Matter") OR (MH "Bacteria") OR (MH "Bacterial Infections") OR (MH "Bordetella Pertussis") OR (MH "Whooping Cough") OR (MH "Chickenpox") OR (MH "Coronavirus Infections") OR (MH "Coronavirus") OR (MH "Coronavirus Infections") OR (MH "SARS Virus") OR (MH "Cross Infection+") OR (MH "Disease Outbreaks") OR (MH "Disease Transmission+") OR (MH "Haemophilus Influenzae") OR (MH "Respiratory Tract Infections*) OR (MH "Respiratory Syncytial Virus Infections") OR (MH "Respiratory Syncytial Virus Infections") OR (MH "Respiratory Syncytial Viruses") OR (MH "Gram-Negative Bacterial Infections*) OR (MH "Infection") OR (MH "Respiratory Syncytial Viruses") OR (MH "Paramyxovirus Infections*) OR (MH "Infection") OR (MH "Respiratory Syncytial Viruses") OR (MH "Preumonia") OR (MH "Preumonia") OR (MH "Preumonia") OR (MH "Respiratory Syndrome") OR (MH "Preumonia") OR (MH "Respiratory Syndrome") OR (MH "Preumonia") OR (MH "Norticulate matter" or aerosol* or bioaerosol* or (acute N2 respiratory) or adenovirus* or airborne* or ARI or bacteri* or chickenpox or "chicken pox" or communicable* or coronavirus or CRI or cross infect* or disease* or droplet* or (emerg* N2 pathogen*) or epidemic* or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or "hospital associated" or "health care associated" or "nosocomial or orthomyxoviridae or outbreak* or pandemic* or panamyxoviridae or outbreak* or pandemic* or panamyxoviridae or outbreak* or pandemic* or riniovirus or RSV or SARS or "severe acute respiratory N2 illness*) or (respiratory N2 infection*) or "respiratory N2 illness*) or (respiratory or virial or virial or virion* o

ş -
se
; -
se
s -
se
3 -
se
; -
se
; -
se
; -
se
; -
Se
ish Language
s - Se
ished Date:
41231; English
41231, Eligiisii
; -
se
ished Date:
41231; English
clude MEDLINE
O.GGO MEDENIAL
; -
se l

S8 Table. PsycINFO

#	Searches				
1	exp Respiration/ and (exp Safety Devices/ or exp Safety/)				
2	(masks or mask or facemask\$ or respirator or respirators or N95 or FFP2 or "personal protection				
	equipment" or "personal protective equipment").ti,ab,id.				
3	1 or 2				
4	exp viral disorders/ or exp infectious disorders/ or exp pneumonia/ or exp bacterial disorders/ or				
	epidemics/ or pandemics/ or disease transmission/ or influenza/ or respiratory tract disorders/				
5	(particle\$ or "particulate matter" or aerosol\$ or bioaerosol\$ or (acute adj2 respiratory) or				
	adenovirus\$ or airborne\$ or ARI or bacteri\$ or chickenpox or "chicken pox" or communicable\$ or				
	coronavirus or CRI or cross infect\$ or disease\$ or droplet\$ or (emerg\$ adj2 pathogen\$) or				
	epidemic\$ or flu or H1N1 or haemophilus or "health care acquired" or "health care associated" or				
	"healthcare acquired" or "healthcare associated" or "hospital acquired" or "hospital associated" or				
	HiB or ILI or illness\$ or incidence or infect\$ or influenza\$ or measles or MERS or				
	metapneumovirus\$ or "Middle East respiratory syndrome" or nosocomial or orthomyxoviridae or				
	outbreak\$ or pandemic\$ or parainfluenza or paramyxoviridae or particle\$ or pathogen\$ or				
	pneumonia\$ or (respiratory adj2 disease\$) or (respiratory adj2 illness\$) or (respiratory adj2				
	infection\$) or "respiratory hygiene" or ("respiratory syncytial" adj1 virus\$) or "respiratory tract" or				
	rhinovirus or RSV or SARS or "severe acute respiratory syndrome" or sick\$ or syncytial or				
	transmission or varicella or viral or virion\$ or virus\$ or pertussis or "whooping cough").ti,ab,id.				
7	4 or 5				
	exp occupational exposure/				
8	("face seal" or faceseal or face-seal or filter\$ or filtrat\$ or leak\$ or penetrat\$ or "viral viability" or "viral				
	culture" or "bacterial culture" or WPF or APF or SWPF or "protection factor" or "5th percentile" or "bacteria\$ viability" or "virus viability" or "virus culture").ti,ab,id.				
9	7 or 8				
10	exp health personnel/ (doctor\$ or physician\$ or clinician\$ or nurse\$ or nursing or paramedic\$ or ((health\$ or hospital\$ or				
11	nurs\$ or clinical or care or medical\$) adj2 (practitioner\$ or staff or personnel or worker\$ or				
	employee\$ or provider\$ or professional\$)) or HCW or HCP).ti,ab,id.				
12	10 or 11				
13	exp simulation/				
14	("breathing simulator" or "cascade impaction" or (air adj sampler\$) or "head form\$" or headform\$ or				
17	"head-form\$" or airtight or chamber\$1 or hood\$1 or man?equin\$ or man?ikin\$ or nebulizer\$ or				
	simulat\$ or surrogate\$ or human\$ or volunteer\$ or subject\$).ti,ab,id.				
15	13 or 14				
16	3 and (6 or 9) and (12 or 15)				
17	limit 16 to english language				
18	limit 17 to yr="1990 -Current"				
10	milit i to yi= 1000 Curiont				

S9 Table, SCOPUS

| Query

(((TITLE(masks OR mask OR facemask* OR respirator OR respirators OR n95 OR ffp2 OR "personal protection equipment" OR "personal protective equipment") OR KEY(masks OR mask OR facemask* OR respirator OR respirators OR n95 OR ffp2 OR "personal protection equipment" OR "personal protective equipment"))) AND ((TITLE-ABS-KEY(particle* OR "particulate matter" OR aerosol* OR bioaerosol* OR (acute W/1 respiratory) OR adenovirus* OR airborne* OR ari OR bacteri* OR chickenpox OR "chicken pox" OR communicable* OR coronavirus OR cri OR "cross infect*" OR disease* OR droplet* OR (emerg* W/1 pathogen*) OR epidemic* OR flu OR h1n1 OR haemophilus OR "health care acquired" OR "health care associated" OR "healthcare acquired" OR "healthcare associated" OR "hospital acquired" OR "hospital associated" OR hib OR ili OR illness* OR incidence OR infect* OR influenza* OR measles OR mers OR metapneumovirus* OR "Middle East respiratory syndrome" OR nosocomial OR orthomyxoviridae OR outbreak* OR pandemic* OR parainfluenza OR paramyxoviridae OR particle* OR pathogen* OR pneumonia* OR (respiratory W/1 disease*) OR (respiratory W/1 illness*) OR (respiratory W/1 infection*) OR "respiratory hygiene" OR ("respiratory syncytial" W/0 virus*) OR "respiratory tract" OR rhinovirus OR rsv OR sars OR "severe acute respiratory syndrome" OR sick* OR syncytial OR transmission OR varicella OR viral OR virion* OR virus* OR pertussis OR "whooping cough")) OR (TITLE-ABS-KEY("face seal" OR faceseal OR {faceseal) OR filter* OR filtrat* OR leak* OR penetrat* OR "viral viability" OR "viral culture" OR "bacterial culture" OR wpf OR apf OR swpf OR "protection factor" OR "5th percentile" OR "bacteria* viability" OR "virus viability" OR "virus culture"))) AND ((TITLE-ABS-KEY(doctor* OR physician* OR clinician* OR nurse* OR nursing OR paramedic* OR ((health* OR hospital* OR nurs* OR clinical OR care OR medical*) W/2 (practitioner* OR staff OR personnel OR worker* OR employee* OR provider* OR professional*)) OR hcw OR hcp)) OR (TITLE-ABS-KEY("breathing simulator" OR "cascade impaction" OR "air sampler*" OR "head form*" OR headform* OR "head-form*" OR airtight OR chamber* OR hood* OR man*equin* OR man*ikin* OR nebulizer* OR simulat* OR surrogate* OR human* OR volunteer* OR subject*))) AND PUBYEAR > 1989 AND NOT TITLE-ABS-KEY("laryngeal mask*" OR "metal mask*" OR "binary mask*" OR "ratio mask*" OR "lithography mask*" OR "oxygen mask*" OR "oxygen therap*" OR "mask coefficient*" OR "miR mask*")) AND NOT TITLE-ABS-KEY("laryngeal mask*" OR "metal mask*" OR "binary mask*" OR "ratio mask*" OR "lithography mask*" OR "phase mask*" OR "oxygen mask*" OR "oxygen therap*" OR "mask coefficient*" OR "miR mask*" OR "wire mask*" OR anesthesia OR anesthetic OR analgesic OR sedation OR intubation OR extubation OR oxygenation OR "non-invasive ventilation" OR "noninvasive ventilation" OR "mask-like fac*" OR lithography OR (ultraviolet W/1 mask*) OR "radiation mask*" OR "stencil mask*" OR "mask blank*" OR "Laws' Mask*" OR "Law's Mask*" OR "gel mask*" OR imaging OR "spectrum emission mask*" OR "cloud mask*" OR resuscitat* OR "auditory mask*" OR "time-frequency mask*") AND (LIMIT-TO(LANGUAGE, "English"))

S10 Table. Conference abstracts searched

Conference Title	Year of Conference Assessed	Year of Conference Unavailable
American Industrial Hygiene Conference and Exposition (AIHce)	2011, 2012, 2013	2009, 2010
American Society for Healthcare Risk Management (ASHRM) Annual Conference and Exhibition	None	2009, 2010, 2011, 2012, 2013
International Congress of the Asia Pacific Society of Infection Control (APSIC)	None	2009, 2011, 2013
Association for Professionals in Infection Control and Epidemiology (APIC) Annual Conference	2009, 2010, 2011, 2012, 2013	
Association of Medical Microbiology and Infectious Disease Canada (AMMI) – Canadian Association for Clinical Microbiology and Infectious Diseases (CACMID) Annual Conference	2009, 2010, 2011, 2012, 2013	
Australasian College for Infection Prevention and Control (ACIPC) Annual Conference	None	2012, 2013
Australasian Society for Infectious Diseases (ASID) Annual Scientific Meeting	None	2009-2013
The Australian Society for Microbiology Annual Scientific Meeting	None	2009-2013
American Society for Microbiology (ASM) Biodefense and Emerging Diseases	2009, 2010, 2011, 2012, 2013, 2014	
American Society for Microbiology (ASM) General Meeting	2009, 2010, 2011, 2012, 2013, 2014	
American Thoracic Society (ATS) Conference	2009, 2010, 2011, 2012, 2013, 2014	
Congress of the European Rhinologic Society (ERS)	None	2010 and 2012
European Congress of Clinical Microbiology and Infectious Diseases (ECCMID)	2009, 2010, 2011, 2012, 2013, 2014	
Healthcare Infection Society (HIS) International Conference	2010, 2012	
Hong Kong Infection Control Nurses' Association (HKICNA) International Infection Control Conference		2010 and 2012
Infectious Diseases Society of America (IDSA) Annual Meeting	2009, 2010, 2011, 2012, 2013, 2014	
Infection Prevention and Control (IPAC) Canada National Education Conference (formerly CHICA)	2009, 2010, 2011, 2012, 2013	
Infection Prevention Society (IPS) Annual Conference	None	2009, 2010, 2011, 2012, 2013
International Conference on Prevention and Infection Control (ICPIC)	2011, 2013	
International Congress on Infectious Diseases (ICID)	2010, 2012, 2014	
Congress of the International Federation of Infection Control (IFIC)	2009, 2010, 2011, 2012, 2014	2013
International Meeting on Emerging Diseases and Surveillance (IMED)	2009, 2011, 2013	

International Union of Microbiological Societies (IUMS) Congresses (Bacteriology, Virology and Mycology)	None	2011
Society for Healthcare Epidemiology of America (SHEA) Annual Meeting	2010, 2011, 2012, 2013, 2014	2009

S11 Table. Summary of authors contacted and unpublished data

Reference (RCT)	Corresponding author	Information required	Data obtained/unattained
(1101)	uutiioi		and reason
Loeb 2009 ⁷	Dr. Mark Loeb	1. There are 50 and 48 laboratory-confirmed influenza infections reported in Table 2. Is it correct to subtract 6 and 4 RT-PCR confirmed influenza infections from the former values, respectively, to obtain the number of unvaccinated health care workers with >4-fold rise in serum titers (44/147 and 44/147)?	Response of 'Yes' to both questions
		 Is it correct to add total 'other respiratory viruses' (20 and 22) in Table 3 to the RT-PCR influenza A (5 and 1) and B (1 and 3) values in Table 2 to obtain the number of health care workers with at least 1 respiratory virus detected by PCR (26/212 and 26/210)? I do not want to incur double counts. 	
MacIntyre 2013 ⁸	Dr. Chandini Raina MacIntyre	 Can you confirm which trial arm contained the B. pertussis infection? Was the infection concomitant with a viral infection? 	Declined to provide information
MacIntyre 2014 ⁹	Dr. Chandini Raina MacIntyre	Can you confirm which trial arm(s) contained the three B. pertussis infections? Were any of the B. pertussis infections concomitant with a viral infection?	Declined to provide information Update: The three B. pertussis infections were an error according to corrigendum. These infections are type B influenza.
Reference	Corresponding	Information required	Data
(case-control)	author	•	obtained/unattained and reason
Lau 2004 ¹⁰	Dr. Joseph T.F. Lau	 Table 2: Do you have the following data? 	No response received
		 a. # of controls who only wore surgical masks and contact with SARS patient b. # of controls who only wore N95 respirators and contact with SARS patient c. # of case-patients who only wore surgical masks and contact with SARS 	Study excluded

			1
		patient d. # of case-patients who only wore N95 respirators	
		and contact with SARS	
Liu 2009 ¹¹	Dr. Wu-Chun	patient 1. Are the 'disposable masks' in your	No response
Liu 2009	Cao	study disposable surgical masks?	received
		Are the N95 respirators filtering facepiece respirators?	Study excluded
Reference	Corresponding	Information required	Data
(surrogate exposure study)	author		obtained/unattained and reason
Balazy 2006 ¹²	Dr. Sergey A.	 Do you have the mean filter 	Data was not
	Grinshpun	penetration values for the 85 L/min	available
		flow rates in Figure 4, Figure 5, Figure 6, and Figure 7, for each	Minimum efficiency
		respirator and mask?	estimated from graph
Davidson	Dr. Shawn G.	1. For Figure 3, do you have the	Data was not
2013 ¹³	Gibbs	mean efficiency values of each surgical mask and respirator for	available
		1.3 µm PSL data?	Minimum efficiency
			estimated from graph
Grinshpun 2009 ¹⁴	Dr. Sergey A. Grinshpun	 Do you have the overall mean values for filter medium 	Data was not available
2009	Gillistipuli	penetration and face-seal leakage	available
		for the N95 respirator and surgical	Minimum efficiency
		mask from Figure 4?	estimated from graph
He 2013 ¹⁵	Dr. Sergey A. Grinshpun	 For Figure 1 and Figure 3, do you have the overall mean filter 	Data was not available
	Gillistipuli	medium penetration values for 85	avaliable
		L/min at 30 breaths/min?	Minimum efficiency
			estimated from graph
		2. Do you have the overall mean total	
		inward leakage values for Figure 2 and Figure 4 for 15 L/min at 30	
		breaths/min?	
He 2014 ¹⁶	Dr. Sergey A.	1. For Table IV (4), do you have the	Corrected data
	Grinshpun	values for 'Effect of MIF on TIL' for surgical mask? The values quoted	provided and 'No' to second question
		in the table are the same values as	second question
		N95 Effect of MIF on TIL from	
		Table II (2).	
		Does this article use the same	
		data from He et al., 2013?	
Mitakakis	Dr. Euan R.	In the figure containing "Ratio of	Data was not
2002 ¹⁷	Tovey	NAS count to IOM" on the Y-axis,	available
		do you have the mean and	Minimum officiones
		standard deviation of the ratio of NAS count to IOM of aerosol mask	Minimum efficiency estimated from graph
		while wearing powdered latex	Journal of Home graph
		gloves, and particulate mask while	

		wearing powdered latex gloves?	
Qian 1998 ¹⁸	Dr. Klaus Willeke	efficiency value from Figure 1 for the surgical mask and N95	No response Minimum efficiency estimated from graph
		 In Figure 2, do you have the mean filtration efficiencies for each respirator (A, B and C) for NaCl particles? 	
		3. Is the data for respirator A using NaCl in Figure 2 the same as the data in Figure 1 at 85 L/min?	
Zou 2014 ¹⁹	Dr. Maosheng		No response
	Yao	the manikin setup? 2. Can you further define why the	
		particles in the indoor environment	
		were termed "bioaerosols"?	
		Were Eap values calculated for	
		indoor conditions at 85 L/min? If	
		yes, what were the values for each	
		respirator and mask? If no, can	
		you provide the Eap values for outdoor conditions at 85 L/min	
		(from Figure 2)?	
		4. Can you provide the Epp values	
		for indoor conditions at 12-5 L/min	
		(from Figure 5)?	
		Do you have the approximate most	
		penetrating particle size for each	
		respirator and mask for indoor Epp	
		experiments at 12.5 L/min (from supplemental Figure S3)?	
		6. Do you have the approximate most	
		penetrating particle size for each	
		respirator and mask for outdoor	
		Eap experiments at 85 L/min?	

S12 Table. Newcastle-Ottawa Scale summary of risk of bias for cohort and case-control studies $^{20}\,$

Study	Sele	ction		Comparability	Exposure/Outcome				
Cohort									
Loeb 2004 ²¹	*	*	*		*	*	*		
Case-Control									
Seto 2003 ²²		*	*			*			
Zhang 2013 ²³	*	*	*	**		*			

S13 Table. GRADE quality of evidence summary¹

	Quality assessment						№ of patients*		Effect				
№ of studie s	Study design	Risk of bias	Inconsisten cy	Indirectne ss	Imprecisi on	Other consideratio ns	N95 respirato rs	surgic al masks	Relati ve (95% CI)	Absolu te (95% CI)	Qualit y	Importanc e	
Labora	Laboratory-confirmed respiratory infection (follow up: mean 5 weeks; assessed with: PCR, serology, and culture.)												
3	randomize d trials	serious ¹ ₂₃	not serious	not serious	serious ⁴⁵	none	94/1349 (7·0%)	84/805 (10·4%)	OR 0·89 (0·64 to 1·24)	10 fewer per 1000 (from 22 more to 35 fewer)	⊕⊕⊖ O LOW	CRITICAL	
Labora	tory-confirme	d respirator	y infection (Co	hort) (assess	ed with: Cas	e definitions an	d serology)						
1	observatio nal studies	serious ⁶ ⁷	not serious ⁸	not serious	serious ⁴⁵	none	2/16 (12·5%)	1/4 (25·0%)	OR 0·43 (0·03 to 6·41)	125 fewer per 1000 (from 240 fewer to 431 more)	⊕○ ○○ VERY LOW	IMPORTA NT	
Labora	tory-confirme	d respirator	y infection (Ca	se-control) (a	ssessed with	n: PCR)							
2	observatio nal studies	very serious ⁹	not serious	not serious	serious ⁴⁵	none	controls (OR 0·91 (0·25 to 3·36)	-	⊕○ ○○ VERY LOW	IMPORTA NT	

	Quality assessment						№ of patients*		Effect			
№ of studie s	Study design	Risk of bias	Inconsisten cy	Indirectne ss	Imprecisi on	Other consideratio ns	N95 respirato rs	surgic al masks	Relati ve (95% CI)	Absolu te (95% CI)	Qualit y	Importanc e
Influenz	za-like illness	(follow up:	mean 5 weeks	; assessed w	vith: report of	symptoms)						
3	randomize d trials	serious ¹ ₂₃	not serious	not serious	serious ⁴⁵	none	9/1349 (0·7%)	13/805 (1·6%)	OR 0·51 (0·19 to 1·41)	8 fewer per 1000 (from 6 more to 13 fewer)	⊕⊕⊖ ⊝ LOW	IMPORTA NT
Work-re	elated absent	eeism (follo	w up: mean 5	weeks; asses	ssed with: re	ported time off	work coincid	ling with i	Ilness)			
1	randomize d trials	serious ¹ ₂₃	not serious ⁸	serious ¹¹	serious ⁴⁵	none	39/210 (18·6%)	42/212 (19-8%)	OR 0·92 (0·57 to 1·5)	13 fewer per 1000 (from 72 more to 75 fewer)	⊕○ ○○ VERY LOW	NOT IMPORTA NT

MD – mean difference, RR – relative risk

*Cluster-adjusted effective sample sizes and event numbers

¹No blinding of primary researchers, participants, or data collectors. Problematic because trigger for testing for virus is based on participants self-reporting symptoms.

²Limited or no hand hygiene audits.

³Limited direct compliance assessment

⁴Limited number of events and insufficient participant numbers to detect a potential difference.

⁵CIs cross clinical decision threshold.

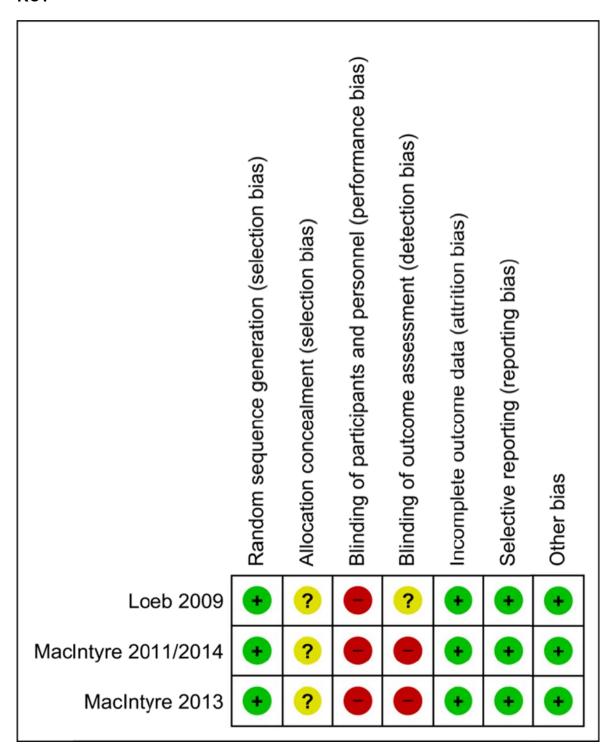
⑤No adjustment for potential confounders

⁶No adjustment for potential confounders.
⁷A subgroup of the initial cohort was used for analysis.
⁸Single study.

⁹No testing for exposure prior to study. ¹⁰No control for mask compliance.

¹¹Indirect outcome.

S1 Fig. Cochrane Risk of Bias Tool risk of bias summary for each included RCT^{7,8,9,24,25}



References

- GRADEpro. [Computer program on http://www.guidelinedevelopment.org/]. Version November 20, 2014. McMaster University, 2014.
- Wells GA, Shea B, O'Connell D, Peterson J, Welch V, Losos M, et al. The Newcastle-Ottawa Scale (NOS) for assessing the quality of nonrandomised studies in meta-analyses. 2011. http://www.ohri.ca/programs/clinical_epidemiology/oxford.asp. Accessed October 22, 2014.
- 3. Higgins JP, Altman DG, Gotzsche PC, et al. The Cochrane Collaboration's tool for assessing risk of bias in randomised trials. BMJ. 2011;343:d5928.
- 4. Sweeting MJ, Sutton AJ, Lambert PC. What to add to nothing? Use and avoidance of continuity corrections in meta-analysis of sparse data. Stat Med. 2004;23(9):1351-75.
- 5. Higgins J, Thompson SG. Quantifying heterogeneity in a meta-analysis. Stat Med. 2002;21(11):1539-58.
- 6. Higgins JP, Thompson SG, Deeks JJ, Altman DG. Measuring inconsistency in meta-analyses. BMJ. 2003;327(7414):557-60.
- 7. Loeb M, Dafoe N, Mahony J, et al. Surgical mask vs N95 respirator for preventing influenza among health care workers: a randomized trial. JAMA. 2009;302(17):1865–71.
- 8. MacIntyre CR, Wang Q, Seale H, et al. A randomized clinical trial of three options for N95 respirators and medical masks in health workers. Am J Respir Crit Care Med. 2013;187(9):960–6.
- 9. MacIntyre CR, Wang Q, Cauchemez S, et al. A cluster randomized clinical trial comparing fit-tested and non-fit-tested N95 respirators to medical masks to prevent respiratory virus infection in health care workers. Influenza Other Respir Viruses. 2011;5(3):170–9.
- 10. Lau JT, Fung KS, Wong TW, et al. SARS transmission among hospital workers in Hong Kong. Emerg Infect Dis. 2004;10(2):280-6
- 11. Liu W, Tang F, Fang L, et al. Risk factors for SARS infection among hospital healthcare workers in Beijing: a case control study. Trop Med Int Health. 2009;14(s1):52-9.
- 12. Bałazy A, Toivola M, Adhikari A, Sivasubramani SK, Reponen T, Grinshpun SA. Do N95 respirators provide 95% protection level against airborne viruses, and how adequate are surgical masks? Am J Infect Control. 2006;34(2):51–7.
- 13. Davidson CS, Green CF, Gibbs SG, et al. Performance evaluation of selected N95 respirators and surgical masks when challenged with aerosolized endospores and inert particles. J Occup Environ Hyg. 2013;10(9):461–7.
- 14. Grinshpun SA, Haruta H, Eninger RM, Reponen T, McKay RT, Lee S. Performance of an N95 filtering facepiece particulate respirator and a surgical mask during human breathing: Two pathways for particle penetration. J Occup Environ Hyg. 2009;6(10):593–603.
- 15. He X, Reponen T, McKay RT, Grinshpun SA. Effect of Particle Size on the Performance of an N95 Filtering Facepiece Respirator and a Surgical Mask at Various Breathing Conditions. Aerosol Sci Technol. 2013;47(11):1180–7.
- 16. He X, Reponen T, McKay R, Grinshpun SA. How does breathing frequency affect the performance of an N95 filtering facepiece respirator and a surgical mask against surrogates of viral particles? J Occup Environ Hyg. 2014;11(3):178–85.

- 17. Mitakakis T, Tovey E, Yates D, et al. Particulate masks and non-powdered gloves reduce latex allergen inhaled by healthcare workers. Clin Exp Allergy. 2002;32(8):1166–9.
- 18. Qian Y, Willeke K, Grinshpun SA, Donnelly J, Coffey CC. Performance of N95 respirators: Filtration efficiency for airborne microbial and inert particles. Am Ind Hyg Assoc J. 1998;59(2):128–32.
- 19. Zou Z, Yao M. Airflow resistance and bio-filtering performance of carbon nanotube filters and current facepiece respirators [published online October 16, 2014]. J Aerosol Sci. doi:10.1016/j.jaerosci.2014.10.003.
- 20. Wells GA, Shea B, O'Connell D, Peterson J, Welch V, Losos M, et al. The Newcastle-Ottawa Scale (NOS) for assessing the quality of nonrandomised studies in meta-analyses. 2011. http://www.ohri.ca/programs/clinical_epidemiology/oxford.asp. Accessed October 22, 2014.
- 21. Loeb M, McGeer A, Henry B, et al. SARS among critical care nurses, Toronto. Emerg Infect Dis. 2004;10(2):251–5.
- 22. Seto W, Tsang D, Yung R, et al. Effectiveness of precautions against droplets and contact in prevention of nosocomial transmission of severe acute respiratory syndrome (SARS). Lancet. 2003;361(9368):1519–20.
- 23. Zhang Y, Seale H, Yang P, MacIntyre CR, Blackwell B, Tang S, Wang Q. Factors associated with the transmission of pandemic (H1N1) 2009 among hospital healthcare workers in Beijing, China. Influenza Other Respir Viruses. 2013;7(3):466–71.
- 24. Higgins JP, Altman DG, Gotzsche PC, et al. The Cochrane Collaboration's tool for assessing risk of bias in randomised trials. BMJ. 2011;343:d5928.
- 25. MacIntyre CR, Wang Q, Rahman B, et al. Efficacy of face masks and respirators in preventing upper respiratory tract bacterial colonization and co-infection in hospital healthcare workers. Prev Med. 2014;62:1–7.